



**ORGANIZATION OF MISO STATES, INC.
Board of Directors Meeting
Conference Call Minutes
January 14, 2016**

APPROVED February 18, 2016

Sally Talberg, President of the Organization of MISO States, Inc. (OMS), called the January 14, 2016 meeting of the OMS Board of Directors to order via conference call at approximately 1:00 p.m. (CST). The following board members or their proxies participated in the meeting:

Bert Finzer, proxy for Ted Thomas, Arkansas
Sherina Maye Edwards, Illinois
Angela Weber, Indiana
Venkata Bujimalla, proxy for Libby Jacobs, Iowa
Jim Gardner, Kentucky
Melissa Watson, proxy for Eric Skrmetta, Louisiana
Regis Gosselin, Manitoba
Sally Talberg, Michigan
Hwikwon Ham, proxy for Nancy Lange, Minnesota
David Carr, proxy for Brandon Presley, Mississippi
Daniel Hall, Missouri
Kirk Bushman, Montana
Phil Movish, proxy for Jason Williams, City of New Orleans
Jerry Lein, proxy for Brian Kalk, North Dakota
Greg Rislov, proxy for Gary Hanson, South Dakota
Werner Roth, proxy for Ken Anderson, Texas
Mike Huebsch, Wisconsin

Absent
None

The directors and proxies listed above established the necessary quorum for the meeting of at least nine directors being present.

Others on the call

Patrick Clarey – FERC

OMS staff and various staff participants from individual state commissions

Approval of Minutes from the December 3, 2015 Board Minutes

The minutes were accepted as submitted.

Treasurer's Report

The January treasurer's report was accepted as submitted.

Review of the December 17, 2015 Executive Committee Meeting:

Sally Talberg highlighted the following items from the meeting:

- Considered the 2016 Strategic Initiatives;
- Approved a doc-less intervention in ER16-490;
- EC voted via email to approve the engagement of Ryun Givens to perform the annual external audit.

Executive Director's Report

Tanya Paslawski provided a verbal report and highlighted the following:
FERC Docket report (attached to posted minutes)

Report from the Director, Member Services & Advocacy

Talina Mathews had nothing to report

BUSINESS

1. FERC Update

Chris Miller provided the FERC update for December. He highlighted the following:

- FERC staff will be doing informal outreach on the EPA's Clean Power Plan;
- Initial decision in the ROE docket;
- Issued an Order on the MISO Capacity Auction Complaint;
- Issued an Order on the MISO compliance filing in the gas-electric coordination;

2. MISO Advisory Committee

Angela Weber highlighted the following items from the MISO AC Meeting:

- The new chair of the MISO AC is Audrey Penner from Manitoba Hydro. Tia Elliott continues as the Vice Chair;
- March Hot Topic will be Seams. The questions have not yet been approved by the Board.

Tanya Paslawski reported on an AC call from the prior week that's focus was to get input from the AC members on the process for prioritization of issues. It was a follow up to the Stakeholder Review Process. There is a stakeholder governance working group that will continue to work on the process.

3. MISO Planning Advisory Committee

Dave Johnston gave the update on the PAC meeting and highlighted the following issues:

- How the Stakeholder process changes would affect the PAC;
- Bob McKee was retained as the Chair;
- An update on the MISO response to the CPP;
- BPM language will be finalized on the out of cycle review process to provide more transparency;
- Bi-annual update on MTEP;
- The next PAC meeting is January 20, 2016

4. Action Item: Approval of the 2016 Strategic Initiatives

Sally Talberg introduced the initiatives document.

- Nancy Lange asked to clarify the “stakeholder process participation” bullet under the Long-Term Strategic Focus Areas to specifically reference the MISO stakeholder process;
- Tanya Paslawski stated that feedback had been received on adding a bullet under Cost Allocation related to the implementation of the MISO-SPP settlement agreement.

Angela Weber moved to approve the 2016 Strategic Initiatives as amended in the discussion. Kirk Bushman seconded. The motion passed by unanimous voice vote.

5. Action Item: Approval of the 2016 Personnel Committee

Sally Talberg introduced the nominees to the 2016 Personnel Committee: Angela Weber to serve as Chair, Nancy Lange, and Brandon Presley.

Sherina Edwards moved to approve the Personnel Committee slate. Mike Huebsch seconded. The motion passed by unanimous voice vote.

6. Update: OMS Audit Engagement Letter

Tanya Paslawski explained that the Executive Committee has approved the engagement letter from Ryun Givens to conduct the audit of the 2015 OMS finances. Once the audit report is complete, it will be presented to the Board.

7. Summary of FERC order on the Zone 4 Auction Results (EL 15-70, et al)

The Resources Work Group provided a written summary.

- Marcus Hawkins reviewed the background information in that document and indicated that MISO would be required to change their method of determining the initial reference level and the local clearing requirement.

8. Open Mic

- Nancy Lange asked questions about MISO’s Generator Interconnection Queue Reform filing. Tanya Paslawski stated that Talina Mathews was coordinating with the work group chairs on whether an OMS response was appropriate.
- David Carr asked FERC staff for some clarification regarding FERC’s order ER11-4081. Chris Miller indicated that Carr correctly understood the order.
- Sherina Edwards asked for the opportunity to address the Board about the Illinois response to the Zone 4 capacity issues and resource adequacy problems. The ICC has conducted two forums on resource adequacy and foresees a potential future problem in the part of Illinois covered by Zone 4. She said that any actions taken by Illinois will not negatively impact other states or jurisdictions. Marcus Hawkins said that the Resources Work Group will continue following the issue.
- Sally Talberg stated that the work group reports can be found on the OMS Sharepoint site.

9. Closed Session:

The following board members or their proxies participated in the closed session of the meeting:

Bert Finzer, proxy for Ted Thomas, Arkansas
Angela Weber, Indiana
Jim Gardner, Kentucky
Regis Gosselin, Manitoba
Sally Talberg, Michigan
Hwikwon Ham, proxy for Nancy Lange, Minnesota
David Carr, proxy for Brandon Presley, Mississippi
Daniel Hall, Missouri
Kirk Bushman, Montana
Phil Movish, proxy for Jason Williams, City of New Orleans
Jerry Lein, proxy for Brian Kalk, North Dakota
Greg Rislov, proxy for Gary Hanson, South Dakota
Werner Roth, proxy for Ken Anderson, Texas
Mike Huebsch, Wisconsin
Absent
Illinois
Iowa
Louisiana

1) ROE EL 14-12 Initial Decision and Next Steps

Tanya Paslawski gave background on the litigation and its current status. The Board asked for additional time to consider before providing feedback.

2) ED Benefits

Sally Talberg explained this item and asked for Board approval to amend Tanya Paslawski's employment contract to add health benefits.

Jim Gardner moved that approval. Angela Weber seconded. The motion passed by unanimous voice vote.

ADJOURNMENT

The meeting of the OMS Board of Directors meeting adjourned at approximately 2:00 p.m. (CDT).

The Organization of MISO States Board of Directors will hold its monthly meeting via conference call **Thursday, January 14, 2016 at 1:00 pm** (Central).

- Call-in number is **866-848-2216**. The conference code is **7422895954** followed by the # sign.
- Board members who cannot attend the call should notify Kirk Bushman at kbushman@mt.gov with a copy to Colleen at (colleen@misostates.org) to designate a proxy for this meeting.
- At the conclusion of the open session of this meeting, there will be a closed session for OMS members only. The call-in number and conference code will be sent in an email message on the morning of the meeting.

Agenda

- Call Meeting to Order – Chairman Sally Talberg 1:00
- Attendance, Recognition of Proxies, Declaration of a Quorum – Commissioner Kirk Bushman
- Approval of Minutes from the December 3, 2015 Board meeting – Sally Talberg
- Treasurer’s Report/OMS Budget to Actuals for December 2015 – Commissioner Ken Anderson
- Review of Executive Committee meeting on December 17, 2015 – Sally Talberg

Administrative Update

1. Report from the Executive Director – Tanya Paslawski 1:05
2. Report from the Director, Member Services & Advocacy – Talina Mathews 1:10

Business

1. FERC Update – Patrick Clarey or Chris Miller 1:15
2. MISO Advisory Committee – Sally Talberg/Angela Weber 1:20
3. Planning Advisory Committee – Angela Weber/Ted Thomas 1:25
4. Action Item: Approval of 2016 Strategic Initiatives – Sally Talberg 1:30
5. Action Item: Approval of 2016 Personnel Committee – Sally Talberg 1:35
6. Update: OMS Audit Engagement Letter – Tanya Paslawski 1:40
7. Summary of FERC order on the Zone 4 Auction Results (EL 15-70, et al) – Marcus Hawkins 1:45
8. Open Mic – membership comment opportunity 1:50
9. **Closed Session** **immediately following open session**
 - a) ROE EL 14-12 Initial Decision and Next Steps
 - b) ED Benefits

Announcements

- Work Group updates can be found on the WG Chairs Project List document on SharePoint at https://misostates.sharepoint.com/sites/Workgroups/_layouts/15/start.aspx#/
- Next OMS Executive Committee meeting: Thursday, February 4, 2016 at 1:00 pm CT
- Next regular OMS Board of Directors meeting: Thursday, February 18, 2016 at 1:00 pm CT

Adjourn

2:00

OMS

Organization of MISO States
Report of the Treasurer
Commissioner Ken Anderson, Public Utility Commission of Texas
to the Board of Directors
Report for December 2015

CASH ON HAND

The beginning book balance for the Chase Bank One Checking account on December 1 was \$47,688.44. The total disbursements from the checking account for December 2015 amounted to \$232,304.03. Deposits, interest and adjustments were \$88,885.54 which includes MISO funding of \$88,885.00.

As of December 31, 2015, the Chase Bank One checking account balance was \$19,417.47 (with 56 check payments outstanding) and the book balance was \$(95,730.05). The negative book balance was created by the timing of cash flows into and out of the checking account.



TREASURER'S REPORT
Organization of MISO States
December 31, 2015

Chase Bank One Commercial Checking with Interest

Book Balance as of 11/30/2015			\$	47,688.44
12/4/15	ACH	Midcontinent ISO	\$	88,885.00
12/31/15	DEP	Interest on checking	\$	0.54
Total Deposits			\$	88,885.54

Checks and Charges

Date	Check #	Descriptions		
12/2/2015	5503	Jennings Strouss	\$	10,000.00
12/2/2015	5483	Customized Energy Solutions	\$	3,500.00
12/2/2015	5493	Susann Brown (IN-OUCC)	\$	1,600.04
12/2/2015	5489	David Shaffer (NOLA)	\$	1,409.08
12/2/2015	5498	David Johnston (IN)	\$	1,391.79
12/2/2015	5491	Kirk Bushman (MT)	\$	1,332.63
12/2/2015	5501	Fang Wu	\$	1,165.36
12/2/2015	5488	Darren Kearney (SD)	\$	1,148.38
12/2/2015	5500	Nicholas Evans (MI)	\$	1,116.72
12/2/2015	5497	Bonnie Janssen (MI)	\$	1,026.63
12/2/2015	5496	State of South Dakota (D. Kearney)	\$	917.70
12/2/2015	5484	Midcontinent ISO (MISO)	\$	900.00
12/2/2015	5499	Naomi Simpson (MI)	\$	857.44
12/2/2015	5487	Bill Smith	\$	795.72
12/2/2015	5492	Robert Mork (IN-UCC)	\$	733.04
12/2/2015	5495	Darren Kearney (SD)	\$	726.77
12/2/2015	5502	Liwie Lu	\$	595.92
12/2/2015	5485	Talina Mathews	\$	500.45
12/2/2015	5490	John Liskey	\$	490.90
12/2/2015	5482	American Business Phones	\$	481.24
12/2/2015	5494	Bill Smith	\$	59.01
12/7/2015	5506	Wisconsin PSC (M. Hawkins)	\$	1,495.00
12/7/2015	5509	Wisconsin PSC (J. Wheeler)	\$	1,098.61
12/7/2015	5510	Wisconsin PSC (Lori Sakk)	\$	1,082.78
12/7/2015	5508	Wisconsin PSC (Don Neumeyer)	\$	1,073.51
12/7/2015	5507	Wisconsin PSC (A. Kell)	\$	1,034.71
12/7/2015	5505	Wisconsin PSC (Lori Sakk)	\$	470.51
12/7/2015	5504	Wisconsin PSC (A. Bohage)	\$	300.73
12/8/2015	5526	April Paronish (IN-UCC)	\$	1,368.74
12/8/2015	5528	Angela Butcher (Michigan)	\$	1,259.76
12/8/2015	5518	Minnesota PUC (C. Panait)	\$	1,232.36
12/8/2015	5531	Al Freeman (MI)	\$	1,186.01
12/8/2015	5513	State of Iowa (Libby Jacobs)	\$	1,109.31
12/8/2015	5529	Al Freeman (MI)	\$	997.98
12/8/2015	5525	Talina Mathews	\$	969.63
12/8/2015	5527	Beth Roads (IN)	\$	895.62
12/8/2015	5515	State of Iowa (S. Bents)	\$	882.62
12/8/2015	5512	State of Iowa (V. Bujimalla)	\$	863.98
12/8/2015	5516	Iowa OCA (Jennifer Easler)	\$	805.26
12/8/2015	5524	Intercall	\$	750.56
12/8/2015	5536	Werner Roth (TX)	\$	745.98
12/8/2015	5530	Al Freeman (MI)	\$	624.45
12/8/2015	5514	State of Iowa (Libby Jacobs)	\$	519.71
12/8/2015	5534	Jerry Lein (North Dakota)	\$	484.24
12/8/2015	5523	Enventis dba Consolidated Communications	\$	394.43
12/8/2015	5535	Jerry Lein (North Dakota)	\$	216.00
12/8/2015	5537	Talina Mathews	\$	207.63
12/8/2015	5519	Combined Systems Technology (CST)	\$	201.40
12/8/2015	5522	Energy Data LLC	\$	150.00
12/8/2015	5533	Sally Talberg (Michigan)	\$	129.88
12/8/2015	5532	Sally Talberg (Michigan)	\$	114.76
12/8/2015	5521	Diversified Management Services (DMS)	\$	19.50
12/8/2015	5520	Crystal Clear Water	\$	10.07
12/15/2015	EFT	Payroll	\$	10,683.68
12/15/2015	EFT/2	Payroll Taxes	\$	5,336.05
12/15/2015	EFT	Expert Plan - MG Trust Co.	\$	3,629.48
12/15/2015	EFT 3	Payroll Processing	\$	61.90
12/21/2015	w/d	VISA (Chase Bank One)	\$	7,116.82
12/31/2015	EFT	Payroll	\$	11,977.09
12/31/2015	EFT/2	Payroll Taxes	\$	5,372.24
12/31/2015	w/d 3	Payroll Processing	\$	61.90
12/2/2015	5486	Adam McKinnie (Missouri)	\$	1,372.69

12/8/2015	5517	State of Montana (B. Decker)	\$	1,371.06
12/14/2015	5511	Jennings Strouss	\$	31,081.24
12/21/2015	5539	Customized Energy Solutions	\$	3,500.00
12/21/2015	5538	American Business Phones	\$	140.98
12/21/2015	5540	Premier Copiers	\$	59.78
12/23/2015	5586	Sally Talberg (Michigan)	\$	305.61
12/29/2015	5582	Darren Kearney (SD)	\$	1,257.30
12/29/2015	5583	Sally Talberg (Michigan)	\$	305.61
12/29/2015	5589	Energy Data LLC	\$	150.00
12/29/2015	5591	Triplett Office Essentials	\$	94.21
12/29/2015	5590	Triplett Office Essentials	\$	40.27
12/31/2015	5576	Jennings Strouss	\$	35,053.00
12/31/2015	5577	Jennings Strouss	\$	7,440.50
12/31/2015	EFT	Expert Plan - MG Trust Co.	\$	3,701.02
12/31/2015	5549	Minnesota PUC (H. Ham)	\$	1,835.96
12/31/2015	5543	Wisconsin PSC (A. Vedvik)	\$	1,592.29
12/31/2015	5579	Kirk Bushman (MT)	\$	1,569.46
12/31/2015	5568	Wisconsin PSC (Kenneth Detmer)	\$	1,493.78
12/31/2015	5557	R. Lane Sisung (LA-Consultant)	\$	1,486.13
12/31/2015	5547	Minnesota PUC (H. Ham)	\$	1,404.81
12/31/2015	5552	J.T. McGuckin (LA-Consultant)	\$	1,378.78
12/31/2015	5548	Minnesota PUC (H. Ham)	\$	1,310.87
12/31/2015	5567	Wisconsin PSC (Don Neumeyer)	\$	1,176.26
12/31/2015	5578	Kenneth Anderson (TX)	\$	1,107.76
12/31/2015	5569	Wisconsin PSC (M. Hawkins)	\$	1,067.04
12/31/2015	5545	Minnesota PUC (H. Ham)	\$	1,011.23
12/31/2015	5554	Minnesota PUC (C. Panait)	\$	966.10
12/31/2015	5580	State of Iowa (Libby Jacobs)	\$	964.51
12/31/2015	5561	Wisconsin PSC (Don Neumeyer)	\$	941.54
12/31/2015	5581	Werner Roth (TX)	\$	898.79
12/31/2015	5558	Robert Vosberg (LA Consultant)	\$	854.55
12/31/2015	5542	State of Iowa (S. Bents)	\$	818.41
12/31/2015	5565	Bill Smith	\$	760.20
12/31/2015	5566	State of Iowa (Chancy Bittner)	\$	704.89
12/31/2015	5551	Brandon Frey (LA)	\$	669.39
12/31/2015	5556	Nicholas Evans (MI)	\$	545.66
12/31/2015	5564	Wisconsin PSC (M. Hawkins)	\$	474.85
12/31/2015	5550	Wisconsin PSC (M. Hawkins)	\$	454.98
12/31/2015	5553	Melanie Verzwylvelt Moise (LA)	\$	406.71
12/31/2015	5541	Angela Butcher (Michigan)	\$	283.80
12/31/2015	5571	Tanya Paslawski (OMS)	\$	274.85
12/31/2015	5563	Wisconsin PSC (Lori Sakk)	\$	273.62
12/31/2015	5562	Wisconsin PSC (J. Wheeler)	\$	271.58
12/31/2015	5560	Wisconsin PSC (A. Bohage)	\$	251.68
12/31/2015	5555	Naomi Simpson (MI)	\$	243.55
12/31/2015	5559	Talina Mathews	\$	234.92
12/31/2015	5544	Eric Stocking (MI)	\$	213.47
12/31/2015	5570	Tanya Paslawski (OMS)	\$	169.50
12/31/2015	5572	Tanya Paslawski (OMS)	\$	169.50
12/31/2015	5575	Diversified Management Services (DMS)	\$	39.00
12/31/2015	5574	Combined Systems Technology (CST)	\$	34.96
12/31/2015	5546	Wisconsin PSC (A. Vedvik)	\$	25.00
12/31/2015	5573	Amy Schmelzer (OMS)	\$	19.53
11/24/2015		Uncleared Deposit* (Wells Fargo Savings Account check)*	\$	20,347.14

Total Disbursements - Checks and Charges

\$ 232,304.03

CHECKING ACCOUNT BALANCE at 12/31/2015

\$ (95,730.05)

TOTAL Checking Account Balances as of: 12/31/2015

\$ (95,730.05)

CHASE CHECKING ACCOUNT RECONCILIATION

Bank Balance 12/31/2015

\$ 19,417.47

Less Checks Outstanding

11/23/2015	5480	Julia Mitchell (consulting)	\$	458.50
11/23/2015	5479	Steve Gaw	\$	415.84
12/2/2015	5486	Adam McKinnie (Missouri)	\$	1,372.69
12/8/2015	5517	State of Montana (B. Decker)	\$	1,371.06
12/14/2015	5511	Jennings Strouss	\$	31,081.24
12/21/2015	5539	Customized Energy Solutions	\$	3,500.00
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12/29/2015	5583	Sally Talberg (Michigan)	\$	305.61
12/29/2015	5589	Energy Data LLC	\$	150.00
12/29/2015	5591	Triplett Office Essentials	\$	94.21
12/29/2015	5590	Triplett Office Essentials	\$	40.27

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12/31/2015	5574	Combined Systems Technology (CST)	\$	34.96
12/31/2015	5546	Wisconsin PSC (A. Vedvik)	\$	25.00
12/31/2015	5573	Amy Schmelzer (OMS)	\$	19.53

Total Outstanding Checks

\$ 115,147.52

Book Balance 12/31/2015 **

\$ (95,730.05)

* The Uncleared Deposit includes funds from the Wells Fargo Savings Account that was closed in November 2015. Wells Fargo issued a check to OMS for the balance of the funds in the account. This check was mailed to Chase Bank. Due to delays with the Post Office, this check was deposited in the Chase Checking account on January 13, 2016. This deposit transaction will be reflected in the January 2016 Treasurer's Report.

** The Book Balance is affected by the timing of cash flows into and out of the Checking Account

OMS

OMS Treasurer Report for Month of December 2015

Chase Bank One Checking Account

Beginning Balance	47,688.44	
Total Disbursements	(232,304.03)	
Deposits/Interest/Adjustments	<u>88,885.54</u>	
Ending Balance		<u><u>(95,730.05)</u></u>
Ending Bank Balance	19,417.47	
Outstanding Checks and Deposits	<u>(115,147.52)</u>	
Book Balance	<u><u>(95,730.05)</u></u>	

Total Savings & Checking Balances as of December 31, 2015 (95,730.05)

56 checks outstanding at 12/31/2015

		2015 Budget	2015 YTD Actuals	% of YTD	Budget Category Explanations
INCOME					
1	MISO Grant	\$ 945,000.00	\$ 955,135.00	101%	Monthly remittance from MISO
2	Interest - Checking Account and Savings	\$ -	\$ 7.98		Interest paid on Chase Checking Account and Wells Fargo Savings
4	Miscellaneous Revenue	\$ 75,000.00	\$ -		Misc. revenue (VISA Rebates, EGEAS Grant, Travel Refunds)
TOTAL INCOME		\$ 1,020,000.00	\$ 955,142.98	94%	
Monthly Allotment		\$ 78,750.00			
Jan 1, 2015 Cash on Hand (Checking+Savings)			\$ 122,485.53		
EXPENSES					
Personnel Compensation & Benefits					
<i>Includes: salary & taxes, 401(k) match, bar dues, etc...</i>					
5	Compensation - Executive Director/Director Emeritus/Bill	\$ 161,000.00	\$ 107,936.31	67%	Bill Smith 2015+ Retirement Bonus
5b	Compensation - Executive Director Tanya	\$ -	\$ 81,239.59		Tanya Paslawski 2015
5c	Compensation - Deputy Executive Director	\$ 144,000.00	\$ 58,118.43	40%	Tanya Paslawski 2015
6	Compensation - Director Member Svcs	\$ -	\$ 25,250.13		Talina Mathews 2015
7	Compensation - Office Manager	\$ 136,000.00	\$ 113,895.82	84%	Colleen Dougherty only in 2015
8	Compensation - PT Office Assistant	\$ 18,000.00	\$ 18,506.34	103%	Amy Schmelzer 2015
9	Compensation - Health Benefits	\$ -	\$ -		Cost under investigation; Personnel Cmte approval needed
Total Personnel Compensation & Benefits		\$ 459,000.00	\$ 404,946.62	88%	
Other Personnel Expenses					
10	Staff Training	\$ 20,000.00	\$ 2,353.89	12%	Training materials & seminars. Vendors include: New Horizon, Fred
11	Monthly Payroll & 401(K) Fees	\$ 1,000.00	\$ 2,124.81	212%	Payroll & 401(k) processing. Vendors: Paychex Online & Ascensus
Total Other Personnel Expenses		\$ 21,000.00	\$ 4,478.70	21%	
Office Expenses					
12	Computers <i>Hardware, software & peripherals</i>	\$ 10,000.00	\$ 4,871.13	49%	OMS Computers, software (programs, licenses & upgrades), monitors, firewall, desktop printers, etc. Vendor: CST
13	Computer Support <i>outside maintenance, spam filtering, anti-virus updates, etc</i>	\$ 11,000.00	\$ 7,450.52	68%	Outside computer support (block time), spam filtering & anti-virus subscriptions, etc. Vendor: CST
14	Websites <i>misostates.org & OMS Sharepoint site hosting, support, licenses, & domain fees</i>	\$ 2,000.00	\$ 10,738.06	537%	Website domain fees & hosting costs. Outside support for misostates.org & OMS Sharepoint site. Vendors: DSM, Thor Projects, Microsoft, GoDaddy
15	EGEAS software & licenses	\$ 30,685.00	\$ 40,135.00	131%	EGEAS software costs. Vendors: Ventyx & ABB Software. These costs were previously covered by MISO but, at their request, OMS has assumed the costs in its budget starting in 2016.
16	Employee Cell Phone Reimbursement	\$ -	\$ 2,529.90		\$50/month reimbursements to ED, DED & OM & \$68.99/month for ED laptop wifi
17	Furniture/Office Equipment	\$ 4,500.00	\$ 708.87	16%	Deprecable office furniture & equipment. Vendors: Triplett & Premier Copiers
18	Rent, Parking & Office Operating Expenses	\$ 24,000.00	\$ 20,596.32	86%	Office rent, parking & operating expenses. Vendor: 100 Court (Terrus)
19	Postage, shipping & delivery	\$ 500.00	\$ 591.29	118%	Vendors: USPS, FedEx, King Delivery
20	Printing & copying	\$ 1,500.00	\$ 1,227.33	82%	Includes: monthly copier contract & toner for desktop copier/printer.
21	Supplies	\$ 2,500.00	\$ 2,425.30	97%	Consumable office supplies. Vendor: Triplett & Crystal Clear Water

22	Phone, Fax & Internet	\$ 5,000.00	\$ 8,857.47	177%	Office phone & internet & ipad wifi. Vendors: Enventis, American Business Phones, Verizon
23	Miscellaneous Office Expenses	\$ -	\$ 794.45		Any other office expenses
Total Office Expenses		\$ 91,685.00	\$ 100,925.64	110%	
Membership/Program Support Expenses					
24	Teleconferencing	\$ 12,000.00	\$ 7,032.57	59%	Telephone & web conference costs. Vendors: Intercall, WebEx
25	Membership Subscriptions	\$ 50,000.00	\$ 68,912.50	138%	Publication subscriptions for OMS members. Vendors: RTO Insider, CES, Energy Data
26	Program Legal	\$ 20,000.00	\$ 196,395.44	982%	Legal expenses <i>on behalf of OMS membership</i> . Example: counsel for ROE litigation. Vendor: Jennings Strouss
27	Program Professional	\$ -	\$ -		Other professional (consulting) expenses incurred <i>on behalf of OMS membership</i>
Total Membership/Program Support Expenses		\$ 82,000.00	\$ 272,340.51	332%	
Organizational (Corp) Expenses			Budget Category Explanations		
28	Accounting, Audit & Tax	\$ 6,000.00	\$ 7,855.10	131%	Vendor: Ryun, Givens
29	Corporation	\$ 500.00	\$ 157.14	31%	Costs associated with the business existence of OMS. Vendors: The Company Corporation, Indiana Secretary of State
30	OMS Legal	\$ -	\$ -		Potential legal expenses incurred <i>on behalf of the management of</i>
31	OMS Professional	\$ -	\$ -		Other professional (consulting) expenses incurred <i>on behalf of the</i>
Total Organizational (Corp) Expenses		\$ 6,500.00	\$ 8,012.24	123%	
Insurance Expenses					
32	Directors & Officers	\$ 4,000.00	\$ 1,338.00	33%	Vendor: The Novick Group
33	General Office + other	\$ 3,000.00	\$ 936.00	31%	Includes: General office, auto, crime & umbrella liability policies, aslo
34	Workers Comp	\$ 3,000.00	\$ 1,661.00	55%	Vendor: Liberty Mutual
Total Insurance Expenses		\$ 10,000.00	\$ 3,935.00	39%	
Travel Expenses					
35	Consumer Advocate	\$ 30,000.00	\$ 21,840.89	73%	Travel expenses are regardless of meeting type or traveler. Including All travel expenses related to Consumer Advcoates
36	Member	\$ 215,000.00	\$ 212,744.82	99%	All travel expenses related to OMS member travel
37	OMS Staff	\$ 89,000.00	\$ 65,184.58	73%	All travel expenses related to OMS staff travel
Total Travel Expenses		\$ 334,000.00	\$ 299,770.29	90%	
Meeting Expenses			Budget Category Explanations		
38	Annual Meeting	\$ 6,000.00	\$ 25,858.95	431%	Expenses related to the OMS Annual Meeting. Includes: location costs, awards, group meals, recognition dinners, and speaker costs (including travel)
39	Other Meetings (includes Grid School Tuition/Travel)	\$ 12,500.00	\$ 36,249.45	290%	Expenses related to any other OMS meeting. Includes: commission visits, training, on-site meetings of the EC or Board, group meals, supplies, and speaker costs (including travel)
Total Meeting Expenses		\$ 18,500.00	\$ 62,108.40	336%	
TOTAL INCOME		\$ 1,020,000.00	\$ 1,077,628.51	106%	

40 TOTAL EXPENSES
41 INCOME-EXPENSES

\$ 1,022,685.00	\$ 1,156,517.40	113%
\$ (2,685.00)	\$ (78,888.89)	

Docket	Topic	Description	WG	Most Recent Activity	Next Activity
ER14-2445	MISO-SPP	Hurdle rate filing	SWG	Rehearing requests filed by a number of parties	Filing under separate docket made to eliminate hurdle rate
EL11-34 * consolidated with EL14-21. EL14-30, ER14- 1174	MISO-SPP Dispute	Declaratory Order on applicability of JOA Section 5.2	SWG	Judge granted motion for interim rate relief effective 2/1/16; closed related dockets 1/5/16	
ER16-56	MISO-SPP	Hurdle rate filing elimination	SWG		Doc-less intervention filed Nov 3
ER14-1736	MISO-SPP Dispute	MISO filed to allocate costs pro rata to market participants on load ratio share	TCAWG	Settlement Conference was held in December	Awaiting FERC Action
EL15-70	Zone 4 PRA Complaint	Public Citizen		FERC issued an Order on 12-31-15 in the dockets 15-70, 71, and 72; and 82;	MISO will have to file changes to their tariff
EL15-71	Zone 4 PRA Complaint	Illinois Attorney General		FERC issued an Order on 12-31-15 in the dockets 15-70, 71,	MISO will have to file changes to their tariff
EL15-72	Zone 4 PRA Complaint	Southwestern Electric Power Cooperative		FERC issued an Order on 12-31-15 in the dockets 15-70, 71, and 72; and 82;	MISO will have to file changes to their tariff
RM10-17	Order 745 Compliance	Compensation for demand response	DRTWG	DC circuit granted a stay in order for FERC to file for writ with the USSC	USSC argument October 14: 14-840 FERC V. ELECTRIC POWER SUPPLY and 14-841
ER12-1265	Order 719 Compliance	Demand response resources in wholesale markets	DRTWG	Latest compliance filing 9/30/13	Awaiting FERC Action
RM15-24	Price Formation	Aligns time intervals for dispatch and settlement of real-time and operating reserves and shortage pricing intervals	MTWG	Comments filed 11/3/0/15	Awaiting FERC Action

Docket	Topic	Description	WG	Most Recent Activity	Next Activity
ER12-1194	MVP ARRs		MTWG	First Energy rehearing request pending; MISO filed an answer to the rehearing request on 11/21/14	Awaiting FERC action
AD14-14	Price Formation Technical Conference	Exploration of price issues related to ensure adequate supplies of electricity	MTWG	FERC issued Order 11/20/15 requiring reports from RTOs	Reports from RTO due in 75 days from 11/20 Order; Comments due 30 days after
EL14-12	Return on Equity	Complaint against MISO TO ROE	ROE	ALJ decision issued	
EL15-45	Return on Equity	Complaint (2nd) against MISO TO ROE	ROE	Direct testimony filed Sep 2	TO testimony due Oct 20 Staff testimony due Nov 23 Hearing begins Feb 16
AD14-8	Fuel Assurance	Report to FERC on fuel assurance measures by RTOs	RWG	OMS comments submitted 4/21/15	Awaiting FERC action
RM14-2	Gas-Electric Coordination	Notice of Proposed Rulemaking	RWG	FERC Order 10/15/15	
ER11-4081	Module E	Revisions to adopt a voluntary capacity market and MOPR	RWG	FERC Order 11/20/15; MISO Tariff filing 12/18/15; TDU Request for rehearing filed 12/21/15	Awaiting decision on rehearing request
AD14-3	MISO-PJM	JCM Issues	SWG	Reply comments filed 6/15/15	FERC decision in the fullness of time
EL13-88	MISO-PJM	NIPSCO complaint	SWG	Technical conference 6/15/15; Reply comments filed 8/31/15	Awaiting FERC action
ER13-1937	Order 1000 Compliance	MISO-SPP Interregional Compliance	SWG	Compliance filing Aug18, 2015; protests filed Sep 8; answers filed Sep 23	Awaiting FERC action

Docket	Topic	Description	WG	Most Recent Activity	Next Activity
EL14-19 # consolidated with ER13-948, ER14-649 and EER14-1645	Entergy Integration	206 proceeding to determine the reasonableness of Entergy switching to MISO RTOR	SWG	Partial settlement filed July 31. Comments / reply comments filed Sep 9 and 21. Settlement does not include RTOR.	RTOT issues: Track II procedural schedule resumes - prehearing conference Oct 6
EL14-21 *	MISO-SPP Dispute	SPP complaint for violation of the JOA	SWG	FERC Order accepting settlement 1/5/15	Docket terminated 1/5/16
EL14-30 *	MISO-SPP Dispute	MISO complaint	SWG	FERC Order accepting settlement 1/5/15	Docket terminated 1/5/16
ER14-1174 *	MISO-SPP Dispute	Service agreement	SWG	FERC Order accepting settlement 1/5/15	Docket terminated 1/5/16
ER14-2850	WAPA Integration into SPP	Concerns about impact of cost allocation to MISO under the SPP system agreement	SWG	Partial settlement filed 10/26/15	Awaiting FERC action
ER10-1791-003	MVP Cost Allocation	7th Circuit remand to FERC on cost allocation to PJM	TCAWG	Reply comments filed 6/22/15	Awaiting FERC action
ER12-715	Exit Fees	Departing members responsibility for MVP costs	TCAWG	Initial ALJ decision issued 7/16/13, briefs filed Sep 2013	Waiting for FERC order
EL15-86	Competitive Development bids	Request for Declaratory Oder that binding revenue requirement bids are just and reasonable when selected	TPWG	Complaint filed July 28; motion to dismiss etc Aug 21	Awaiting FERC action
ER13-1943	Order 1000 Compliance	MISO-PJM interregional compliance	TPWG	Compliance filing Aug 1	Awaiting FERC action
ER15-2657	TDQS Requirements	Rules applicable to competitively bid transmission projects	TPWG	OMS filed doc-less intervention 10/7/15	
EL15-99	Internal MISO generation	External generators are not required to pay the M2 Milestone payment in generator study queue		Complaint Sep 4, 2015 MISO answer Sep 24	Awaiting FERC action
EL13-76	SSR	Ameren requests addition of fixed costs (depreciation, return, taxes) to SSR payments		FERC order of March 31, 2015 consolidates dockets and settlement procedures	Order on rehearing issued Oct 15

Docket	Topic	Description	WG	Most Recent Activity	Next Activity
ER12-2302-001	SSR	Enhancements to SSR provisions to clarify intent of Attach Y filings, calculation of costs, etc.		FERC Order 12/17/15	MISO compliance filing 2/3/16
ER13-1962	SSR	Ameren SSR rate		FERC order of March 31, 2015 consolidates dockets and settlement procedures	Settlement negotiations continue
ER14-1210	SSR	Ameren non-rate terms and conditions		FERC order of March 31, 2015 consolidates dockets and settlement procedures	Order on rehearing issued Oct 15
ER14-2605	SSR	Ameren		MISO compliance filing submitted 9/2/14	Order on rehearing issued Oct 15
ER15-346	SSR	Ameren SSR agreement compliance		FERC order of March 31, 2015 consolidates dockets and settlement procedures	Settlement negotiations continue

OMS 2016 Strategic Initiatives

Long-Term (3-5 Years) Strategic Focus Areas

1. Act as a leader in the development and implementation of policy issues in the Midcontinent ISO (MISO) region to protect the public interest and ensure costs to customers are reasonable.
 - Bring people together (stakeholders, other Regional State Committees (RSCs), environmental regulators).
 - Provide unique and balanced perspective on issues through interactions and filings as appropriate with Federal Energy Regulatory Commission (FERC), MISO, transmission owners, and other stakeholders.
 - Proactive when feasible; periodically evaluate needs and opportunities.
 - MISO Stakeholder process participation.

2. Support member engagement in MISO-related issues through education, collaboration, and communication.
 - Provide orientation on OMS and MISO to new staff and commissioners.
 - Offer forums for presentations and discussion of new/emerging issues.
 - Engage/facilitate discussions among members to attempt to achieve consensus; fully vet issues.
 - Availability of industry news and publications.
 - Provide sufficient time for board members to consider decisions on policy issues.
 - Share information on industry developments.
 - Support technical training at state commissions that helps them to engage on wholesale market and planning issues (e.g., EGEAS).

3. Preserve retail jurisdictional construct from attempts to alter the appropriate balance of local, regional, and federal authority.
 - Address initiatives proposed at MISO or FERC that would impact state jurisdiction.

2016 Goals for Implementation of Strategic Initiatives

With the backdrop of long-term strategic focus areas, the following goals have been adopted by OMS for 2016:

Reliability and Resource Adequacy

- Actively engage in discussions on MISO's role in CPP analysis, state needs from studies, and communication of results. Work with other stakeholders to reach consensus as possible.
- Encourage continued participation in the OMS-MISO Resource Adequacy survey to obtain reliable results; solicit feedback from users to improve information gathering process to ensure it will meet the needs of OMS members; active participation in review and communication of results.
- Address MISO System Supply Resource (SSR) policy to ensure that rate recovery does not allow utilities to collect more than appropriate from customers and to preserve state authority of generation units.
- Support member resource planning efforts through training and access to EGEAS modeling software.
- Monitor and update members on price formation and related developments at MISO and FERC.
- Support initiatives on the seams that secure market efficiencies.

OMS 2016 Strategic Initiatives

Outreach/communication

- Develop strategic communications plan to support short and long term initiatives.
- Outreach to other RSCs to strengthen relationships between organization leadership; coordinate on joint initiatives where appropriate.
- Conduct a minimum of 6 training/education sessions for members on emerging issues.
- OMS leadership to meet with FERC commissioners to discuss OMS priorities at least once.
- OMS leadership to meet with MISO Board of Directors/senior management at least 2 times.
- Participate in quarterly MISO regulator meetings with MISO CEO; advance agenda items to advocate OMS positions on key issues.
- Coordinate/interaction as appropriate with environmental regulators and/or other groups involved in development of Clean Power Plan (CPP) compliance plans.

Cost Allocation

- Educate members on cost allocation history and current structure/issues to provide a basis for future discussions (CPP-related transmission, Entergy post-transition period, etc.)
- Engage in appropriate review of cost allocation principles and parameters to determine whether changes are necessary for currently-defined cost-shared projects.
- Lead discussions on cost allocation for transmission driven by CPP.
- Participate in determination of the appropriate cost allocation of SPP charges.

Transmission/Resource Planning

- Evaluate Non-Transmission Alternatives (NTAs) to determine whether barriers exist to proper utilization of these resources.
- Provide guidance to MISO on types of information that would be useful to states to evaluate options related to CPP.
- Identify additional transmission planning scenarios for MISO to include that captures OMS member resource needs and analysis.
- Monitor competitively bid transmission process and provide feedback as appropriate to ensure fairness and reasonable rates for customers.
- Participate in Order 1000 implementation proceedings to represent member and rate payer interests.
- Support seams initiatives that make beneficial interregional projects more feasible.
- Lead discussions as appropriate on potential CPP-driven transmission.



Organization of MISO States

2016 OMS PERSONNEL COMMITTEE NOMINEES

To be voted on at the January 14, 2016 OMS Board Meeting

Angela Weber (Chair) – Indiana
Nancy Lange – Minnesota
Brandon Presley - Mississippi